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Division: EPI - EPI

University of Minnesota

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Office of the Deun
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September 26, 1994

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MEMORANDUM

TO:

SPH Faculty, P&A, and Division Administrators

FROM:

Edith D. Leyasmer, Ph.D., M.P.H

Interim Dean

RE:

Industry Relationships

The attached "School of Public Health Guidelines for Relationships with Industry" was approved by the Policy Council on September 22, 1994.

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Attachment

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School of Public Health Guidelines for Relationships with Industry

I. Background

A. Reference Documents:

- CONFLICT OF INTEREST Approved by the Board of Regents 4-8-94.
 This document addresses potential financial conflicts of interest and disclosure requirements.
- REPORT OF EXTERNAL RELATIONSHIPS Draft 6-1-94.
 This is an explanation and set of instructions for filling out the Academic Employee Annual Report of External Relationships and University Activities and is the implementation of the policy statement on Conflict of Interest.
- PUBLIC-PRIVATE PARTNERSHIPS Statement of a Task Force 6-17-93.
 This report of a task force co-chaired by Winston Wallin and Anne Peterson was reviewed by the Board of Regents in May, 1993 and its recommendations endorsed for implementation.
- 4. REPORT OF A TASK FORCE ON SPH/INDUSTRY RELATIONS 7-11-89. This report lists guidelines to be followed by the School of Public Health when considering joint ventures between the School and industry. This task force report sent to then Dean Robert Kane was not formally presented for adoption by the School. Its revision is the subject of this memo.

B. Perspective

There is general encouragement for joint activities between the University and private industry. The CONFLICT OF INTEREST document listed above begins as follows:

The University of Minnesota actively encourages and participates in interaction with both the public and private sectors as an important component of its research, education, and public service activities. The University encourages the recruitment, retention, and recognition of individuals with creative abilities who can contribute to technology transfer and interactions with Business and public entities consistent with their primary commitment to the University.

The PUBLIC-PRIVATE PARTNERSHIPS document asserts:

Public-private partnerships benefit society and form an integral part of the land-grant mission of the University of Minnesota. The economic challenges facing the state, the nation, and the world compel the University to continue to be an innovator in its relationships with entrepreneurs, trade associations, and foundations as well as with companies of all sizes. The University collaborates with industry to develop educational programs and experiences that prepare students for careers, to exchange scientific personnel, and to develop and disseminate the knowledge and technologies needed to sustain competitive growth.

4. What are the actual or potential ethical issues in the proposed relationship?

Specifically:

- a. Is the freedom protected of faculty researchers to pursue issues of public concern as impartial experts?
- b. Is the proposed relationship congruent with the mission and reputation of the School? Does it divert School goals, resources and training emphasis?
- c. Does the proposed relationship present actual or potential conflicts of interest, or the appearance of conflict?
- d. What actual or potential conflicting loyalties does the proposed relationship present?
- e. Does the proposed relationship affect the credibility of the faculty or of the SPH in its public health stance?
- f. Who benefits from the relationship? How do the least advantaged in society benefit from the proposed relationship?
- g. How are faculty/student and faculty/faculty relationships affected by the proposed relationship?

For purposes of monitoring the implementation of these guidelines, it is recommended that:

- 1. The School of Public Health Policy Council review annually the School/Industry relationships and report to the Dean any concerns about the extent and direction of the School's industry-related enterprise.
- 2. Faculty considering collaboration with industry should request prospective review by their Division Head of any proposal thought to pose particular risks. This should occur for all projects in which the School's name or a direct affiliation with the School will be used as a part of the activity. Division Heads (and subsequently the Dean) must be informed of such projects well prior to their formal submission.

Approved by SPH Policy Council September 22, 1994



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March 22, 1989

Dr. Arthur Caplan Director Biomedical Ethics Box 33 Mayo CAMPUS MAIL

re: SPH Task Force on Industry Relations

Dear Arthur:

We are grateful for your contribution to these deliberations and hope that you will join a School-wide forum on this issue during the Spring Quarter.

On another issue, I note that the Ethics Center is returning in May to the debate about Nazi medical crimes. I recall last year you raised (or lowered) University researches with conscientious objectors during World War II to the same level in some public statements. I would appreciate a chat with you on this issue and also hope that you might visit with Ancel Keys on those undertakings before they are again equated in a public view with Nazi research. Ancel has just recovered from his second TIA and is reasonably well at home and will be there for the next few weeks. You should be thoroughly familiar with the issues at that time so that if you decide to criticize those researches you do it with the broadest base of information. I have a number of scrapbooks about those experiments that were widely publicized in Life Magazine and other places during World War II. They were in no way secretive. Those experiments couldn't be conducted now, but you need to be aware of the context and way in which they were conducted. You also might want to interview Max Kampelman who was a subject in those researches. I am not privy to details but Max and Ancel are two of the few survivors from that period. Before more public comparisons are made it would be good if you would "explore the source".

Again we are very grateful for your attention to the unique issues on-going in the School of Public Health.

Cordially,

Henry Blackburn, MD Professor and Director

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